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**UNITED STATES PATENT AND TRADEMARK OFFICE**

AUG 31 2001  
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/892,024	06/26/2001	Guolin Cai	00-432-A

**CONFIRMATION NO. 1826**  
**FORMALITIES LETTER**



\*OC000000006433309\*

Steven J. Sarussi  
McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606

Date Mailed: 08/16/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

***A copy of this notice MUST be returned with the reply.***

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/05/2001 BABRAHA1 00000017 09892024

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 00-432-A)

In the Application of: )  
Guolin Cai et al. )  
Serial No. 09/892,024 )  
Filed: June 26, 2001 )  
For: Aryl Fused Substituted 4-Oxy-Pridines )  
Examiner: not assigned  
Art Unit: 1614

**RESPONSE TO THE NOTICE TO FILE  
MISSING PARTS OF NON-PROVISIONAL APPLICATION  
MAILED AUGUST 16, 2001**

Commissioner for Patent  
Washington, D.C. 20231

Sir:

In response to the notice mailed August 16, 2001, enclosed please find a Notice to File Missing Parts of Non-Provisional Application as well as the Declaration and Power of Attorney. Also, enclosed please find a check in the amount of \$130.00.

Respectfully submitted,  
McDONNELL BOEHNEN  
HULBERT & BERGHOFF

  
Steven J. Sarussi  
Reg. No. 32,784

Dated: August 29, 2001

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sector 10  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 00-432-A)

In the Application of:

Guolin Cai et al. )  
Serial No. 09/892,024 ) Examiner: not assigned  
Filed: June 26, 2001 ) Art Unit: 1614  
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Commissioner for Patents  
Washington, D.C. 20231

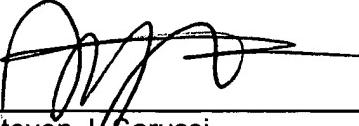
TRANSMITTAL LETTER

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Response to Notice To File Missing Parts;
  - b. Declaration and Power of Attorney;
  - c. Notice to File Missing Parts of Non-Provisional Application; and
  - d. Postcard.
2. With respect to additional fees:
  - A. Attached is a check in the amount of \$130.00.
  - B. Charge the total additional fee to our Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. **CERTIFICATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 herein-above, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 29<sup>th</sup> day of August 2001.

By :

  
Steven J. Sarussi  
Reg. No. 32,784

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